

**PREA AUDIT REPORT     INTERIM     FINAL**

**ADULT PRISONS & JAILS**



<b>Auditor Information</b>			
<b>Auditor name: Michael Klemke</b>			
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<b>Telephone number: 509-244-6817</b>			
<b>Date of facility visit: April 18-22, 2016 / follow-up visit July 25-27, 2016</b>			
<b>Facility Information</b>			
<b>Facility name: Central New Mexico Correctional Facility</b>			
<b>Facility physical address: 1525 Morris Road, Los Lunas, NM 87031</b>			
<b>Facility mailing address: (if different from above)</b>			
<b>Facility telephone number: 505-865-1622</b>			
<b>The facility is:</b>	<input type="checkbox"/> Federal	<input checked="" type="checkbox"/> State	<input type="checkbox"/> County
	<input type="checkbox"/> Military	<input type="checkbox"/> Municipal	<input type="checkbox"/> Private for profit
	<input type="checkbox"/> Private not for profit		
<b>Facility type:</b>	<input checked="" type="checkbox"/> Prison	<input type="checkbox"/> Jail	
<b>Name of facility's Chief Executive Officer: Kenneth A. Smith, Warden</b>			
<b>Number of staff assigned to the facility in the last 12 months: 190</b>			
<b>Designed facility capacity: 1300</b>			
<b>Current population of facility: 1154</b>			
<b>Facility security levels/inmate custody levels: Levels 1, 2, 3, 4, 5 and 6</b>			
<b>Age range of the population: 19-75</b>			
<b>Name of PREA Compliance Manager: Armando Rel</b>		<b>Title: Captain</b>	
<b>Email address: armando.rel@state.nm.us</b>		<b>Telephone number: 575-483-3261</b>	
<b>Agency Information</b>			
<b>Name of agency: New Mexico Department of Corrections</b>			
<b>Governing authority or parent agency: (if applicable)</b>			
<b>Physical address: 4337 NM 14, Santa Fe, New Mexico 87508</b>			
<b>Mailing address: (if different from above) P.O. Box 27116, Santa Fe, NM 87502-0116</b>			
<b>Telephone number: 505-827-8645</b>			
<b>Agency Chief Executive Officer</b>			
<b>Name: Greg Marcantel</b>		<b>Title: Secretary of Corrections</b>	
<b>Email address: greg.marcantel@state.nm.us</b>		<b>Telephone number: 505-827-8884</b>	
<b>Agency-Wide PREA Coordinator</b>			
<b>Name: Jillian Shane</b>		<b>Title: PREA Coordinator</b>	
<b>Email address: jillian.shane@state.nm.us</b>		<b>Telephone number: 575-523-3303</b>	

## AUDIT FINDINGS

### NARRATIVE:

**Prior to the audit interviews were conducted with the Deputy Secretary of Administration, the Statewide PREA Coordinator and the head of investigators for the Department of Professional Standards, which is responsible for PREA investigations. These interviews indicated the Department is committed to complying with the PREA Federal Standards.**

**The PREA Audit of the Central New Mexico Correctional Facility (CNMCF) was conducted on April 18-22, 2016. The Designated Certified Auditor, Michael Klemke, was assisted by Margaret Gilbert, who has been through National PREA Auditor training.**

**The audit team wishes to extend its appreciation to Warden Ken Smith, Captain Armando Rel and their staff for the professionalism, hospitality, and kindness they showed the audit team.**

**The audit team also wishes to compliment the New Mexico DOC PREA Coordinator, Jillian Shane for her outstanding work in organizing and assisting us with the requested information prior to and during the audit. This enabled the audit to move forward very efficiently.**

**Upon arrival at the facility, we held a pre-audit meeting to inform staff why we were there and what we were looking for in the audit. The following New Mexico staff were at this entrance meeting: Statewide PREA Coordinator Jillian Shane, CNMCF Captain Armando Rel, Captain Ralph Lucero, Captain Jesse Diaz, Warden Ken Smith, Deputy Wardens Joe Lytle and Victoria Lounello, Warden's Admin Assistant Cindy Bland, Plant Manager Dean Chavez, Unit Managers Chris Biddle, Ronda Lucero and Daniel Sedillo, Classification Supervisors Craig Cole and Cheryl Duran, PREA auditor Margaret Gilbert and lead auditor Michael Klemke.**

**Following the Entrance Meeting, the audit team was given a very thorough tour of the CNMCF to include the living units, program areas, visiting, Correctional Industries, kitchen, warehouse, intake area, training department in the main facility and all areas of the level 2 and level 1 facilities. After the tour, the audit team began interviewing staff, reviewing files and observing processes.**

**At least one offender from each housing unit was interviewed except in the level 2 facility due to a quarantine. Those interviewed were randomly selected, by the auditors, from a list of all the inmates in each facility. In addition, inmates who were identified as being in a designated group (i.e.**

**disabled, limited English speaking ability, LGBTI, or who had reported a sexual abuse, etc.) were also interviewed. The interviews indicate the inmates know what PREA is and how to report concerns.**

**Correctional officers from each shift were interviewed and other identified specialized staff were interviewed, including the Warden, PREA Compliance Manager, first responders, contractor, health care providers, mental health professionals, HR, intake staff, victim advocate and the SANE coordinator for New Mexico Coalition of Sexual Assault Programs Inc.**

**The the correctional officers and other staff were knowledgeable about PREA, offender rights regarding PREA, first response, and evidence collection. The vast majority of staff clearly understood PREA and the agency's commitment to it. Warden Smith stepped into the position approximately 4 months prior to the audit. He is committed to implementing and ensuring compliance with the PREA standards within his facility and it was very apparent to the audit team.**

**Health care and mental health staff that provide services to the inmates at CNMCF are very professional and knowledgeable with regard to their responsibilities when responding to PREA allegations and the protocols for follow up treatment.**

**When the on-site audit was completed, the audit team conducted an exit meeting with the facility executive team. The auditors gave a brief overview of the audit results and thanked the CNMCF staff for their hard work and commitment to the Prison Rape Elimination Act. The audit team also made some recommendations to the PREA Compliance Manager and the Warden and offered any assistance needed in making improvements or changes. Due to some concerns, a corrective action plan was developed and implemented. I returned approximately 90 days later to ensure the corrective action plan was implemented and the issues identified were corrected. The issues were corrected and they now have a solid plan in place to maintain compliance with the standards.**

#### **DESCRIPTION OF FACILITY CHARACTERISTICS:**

**The Central New Mexico Correctional Facility (CNMCF) is one of six adult correctional facilities operated by the State of New Mexico Corrections Department. Built in 1980 as a 480-bed medium custody facility, it has grown to encompass a three unit complex housing up to 1300 inmates. The mission of the Central New Mexico Correctional Facility is "to protect the**

**public, employees and offenders under the jurisdiction of the New Mexico Corrections Department by implementing sentences, programs and services under conditions of housing, monitoring and training, which will provide the protection in a cost effective manner”.**

**The Main Unit, also known as the Level III, provides the vast majority of support, auxiliary and specialized services for the two sister units. The unit is now designed to house a maximum of 678 Level III, IV, V and VI inmates in single and multi-occupancy cells/dorms. The design of the facility includes ten single cell housing units. These units have three pods in each unit with 16 cells per pod or forty-eight (48) inmates per unit for a total of 480 single cells. The main unit is broken down into one primary and four secondary missions. The primary mission is to serve as the Reception and Diagnostic Center for the Department (RDC), Mental Health Treatment Center (MHTC), Long Term Care Unit (LTCU) beds and dormitory style housing beds for geriatric inmates and the Diagnostic and Evaluation (D&E) Unit. There are a total of 63 buildings in the main unit which include four prison industry buildings, training, hospitality center, traffic control and garage.**

**Level II unit was originally designed to house 204 minimum custody inmates who have statutory restrictions that prevent them from being housed in less secure minimum custody facilities. In 2008 the design was restructured to accommodate 288 Level II inmates. The Level II is located on the same compound as the Main Unit, but has a separate perimeter. Over time the unit began to hold medical and mental health and other inmates that did not qualify by statute to be housed in other minimum custody units. The design of the facility includes six dormitories each accommodating 48 inmates.**

**Level I was originally built in 1939 and was commonly referred to as “The Farm.” The unit is now considered a historical site. At one time the unit was self sufficient; inmates worked the land, grew vegetables and raised livestock. Level I houses a total of 336 unrestricted minimum custody inmates in the fifty-six (56) six man units. Inmates assigned to the Level I serve the New Mexico Highway Department, and other governmental agencies. A Forestry Crew consisting of four firefighting crews of 12 inmates each responded to fires in New Mexico. The facility also has a small work release program.**

## **SUMMARY OF AUDIT FINDINGS:**

**Number of standards exceeded: 3**

**Number of standards met: 38**

**Number of standards not met: 0**

**Number of standards not applicable: 2**

**Total: 43**

**Standard 115.11 - Zero tolerance of sexual abuse and sexual harassment;  
PREA Coordinator**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-150100, CD-150101, CD-150102, memo from the Deputy Secretary of Administration, memo from the Warden, NMCD organizational chart, CNMCF organizational chart and interviews with the Agency PREA Coordinator, facility investigator, and interview with investigator from Office of Professional Standards, CNMCF is compliant with all components of Standard 115.11.**

**Standard 115.12 - Contracting with other entities for the confinement of inmates**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on Memo from the Warden, CNMCF does not have any contracts for the confinement of its inmates with private agencies or other entities, including other government agencies, therefore Standard 115.12 is non-applicable.**

**Standard 115.13 - Supervision and monitoring**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-150100, CD-150101, CD-130301, facility staffing model, copy of unit log entries, PREA Staffing Pattern/Facility Compliance Meeting minutes dated March 16, 2016, copy of critical incident review, review of log books, interviews with staff and review of camera placement and video monitoring, CNMCF is compliant with all components of Standard 115.13.**

**Standard 115.14 - Youthful inmates**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on letter from the Warden and a review of the age report on offenders housed at CNMCF, they do not house youthful inmates and therefore, Standard 115.14 is non-applicable.**

**Standard 115.15 - Limits to cross-gender viewing and searches**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-150100, CD-130300, training lesson plan for Search Procedures, PREA powerpoint used for staff training, interviews with staff, review of strip search logs, review of video monitoring and**

**observations when doing the tour (witnessed female staff make announcements and every unit has "Announce Female Staff when entering" painted on each living unit door), CNMCF is compliant with all components of Standard 115.15.**

**Standard 115.16 - Inmates with disabilities and inmates who are limited English proficient**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policy 150100, PREA Orientation Video, PREA Pamphlet, CNMCF Inmate Handbook, postings throughout the institution on PREA reporting, Vendor Contracts with Interpreter Services, List of certified staff interpreters, Memo from Warden Smith regarding TDD phones for hearing impaired offenders, interviews with inmates and utilization of an interpreter during and inmate interview, CNMCF is compliant with all components of standard 115.16.**

**\*Note\* CNMCF exceeds this standard. There are postings for inmates to read in both English and Spanish in every building that the public and inmates have access to that explain what PREA is, how to report concerns and the investigation process. The inmate orientation provides a PREA video, PREA Pamphlet (English/Spanish), and an inmate handbook (English/Spanish) that also has PREA information. They also have access to a PREA video that continually runs. Interviews with the inmates indicate they are not only receiving the information, but they are also understanding it.**

**Standard 115.17 - Hiring and promotion decisions**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policy CD-037400, background check requests, release of information forms, New Mexico Administrative Code 1.7.1.12, review of staff**

**files and interview with human resource staff, CNMCF is compliant with all components of Standard 115.17.**

**Standard 115.18 - Upgrades to facilities and technologies**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Memo from the Warden indicates CNMCF has not had any updates to their facility in the past 10 years. Conversation with the Warden indicated that if they get approval for additional video monitoring then they will place the electronic surveillance system in the most appropriate places to ensure offender safety.**

**\*Note\*- The auditors recommended mirror placement in some areas and lighting to be repaired in other areas. The mirrors were placed and the lighting fixed prior to completion of the audit.**

**Standard 115.21 - Evidence protocol and forensic medical examinations**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policy CD-150102, Memo of Understanding with Valencia Shelter Services and New Mexico Coalition of Sexual Assault Programs Inc, Curriculum for Investigator Training, interviews with an investigator from Office of Professional Standards, facility investigator, and interview with Valencia Shelter Services staff, CNMCF is compliant with all components of Standard 115.21.**

**Standard 115.22 - Policies to ensure referrals of allegations for investigations**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-031800 CD-031801 and CD-150100, Memo from the Office of the Inspector General explaining what training the State Police attend, Certificates of Completion for Investigating Sexual Violence - Effective Strategies for Military and Civilian Law Enforcement, Certificates for Specialized Training for the Prevention, Detection and Response to Sexual Abuse in Detention, Certificate of completion for PREA: The Journey to Compliance in New Mexico, Certificate of Completion for OPS Investigator Course, investigative reports, the New Mexico Corrections Department Website, and interview with investigator, CNMCF is in compliance with all componets of Standard 115.22.**

**Standard 115.31 - Employee training**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-190000 and CD-150100, Training Lesson Plan: PREA for Staff, Staff Training Acknowledgement form, In-Service Training Schedule, interview of Facility Staff, and review of training records, CNMCF is in compliance with all componets of Standard 115.31.**

**Standard 115.32 - Volunteer and contractor training**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policy CD-150100, Power Point: PREA for Volunteers and Contractors, Training Lesson Plan: PREA for Volunteers and Contractors, PREA for Volunteers and Contractors Training Acknowledgement form,**

**interview of Facility Contractors, and review of training records, CNMCF is in compliance with all componets of Standard 115.32.**

**Standard 115.33 - Inmate education**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policy CD-150100, Orientation Video, Inmate Handbook, NMCD PREA Pamphlet (English/Spanish), Inmate Orientation Acknowledgement form, PREA Posters, Inmate PREA Resource Guide, Inmate Assessment Tool (S.R.N.S. I & II), facility tour, and inmate interviews, CNMCF is in compliance with all componets of Standard 115.33.**

**\*Note\* - CNMCF has the PREA Hotline and how to report PREA concers painted on the wall (English/Spanish) in every living unit and most buildings inmates are in. It is posted in all buildings with brochures, Inmate PREA Resource Guide and informative articles available in the library. There is a phone number for confidential reporting painted above every phone inmates have access to. They have a PREA video looped where any offender can review the information on their onw TV. Interviews with all new inmates clearly indicate they know what PREA is and how to report concerns and that CNMCF has a process in place to ensure all Inmates that come into the institution receive the PREA information.**

**Standard 115.34 - Specialized training: Investigations**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Lesson Plan: Investigating Sexual Assaults in a Correctional Setting, Power Point: Investigating Sexual Assaults in a Correctional Setting, Investigator training certificates, Letter from the NM Department of Public Safety, Interview with Warden and , Interview with investigators, CNMCF is in compliance with all componets of Standard 115.34.**

**Standard 115.35 - Specialized training: Medical and mental health care**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Lesson Plan: Forensic Medical Examinations training for Correctional Medical and Mental Health Staff, Power Point: Forensic Medical Examinations training for Correctional Medical and Mental Health Staff, Medical and Mental Health training certificates, Training Acknowledgement Form, CNMCF is in compliance with all componets of Standard 115.35.**

**Standard 115.41 - Screening for risk of victimization and abusiveness**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policy CD-150100, Inmate Assessment Test (S.R.N.S. I & II), PREA Screening Tool Users Guide, random selection of inmates S.R.N.S. Assessment Tool: 72 hour and 30 day re-evaluation, and observation of intake process, CNMCF is now in compliance with all componets of Standard 115.41.**

**\*Note\* CNMCF did not meet this standard. They were given 90 days to develop a process to ensure this standard is being met. An action plan was developed and put into place. This auditor went back after 90 days and audited 60+ random files, which were 100% compliant, interviewed the staff responsible for maintaining the process to ensure they have a solid plan for continuation of meeting this standard and interviewed random inmates that had arrived after the action plan to see if they are being asked the appropriate questions. Based on my review, CNMCF now meets this standard.**

**Standard 115.42 - Use of screening information**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-150100 and CD-080100, Interview with the Warden, PREA Screening Tool Users Guide, and interviews with intake staff, CNMCF is in compliance with all componets of Standard 115.42.**

### **Standard 115.43 - Protective custody**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-141000, CD-141001, CD-141002, CD-141003, CD-141100, CD-141101, CD-141500, CD-141600, CD-141601, Investigative Report dated 02/23/16, Memorandum from the Facility Warden to Deputy Director of Adult Prisons dated 02/24/16, Memo from Facilit Warden stating the number of inmates housed in Restricted Housing for pending investigatin of Sexual Allegations, and conversation with the Chief of Custody, CNMCF is in compliance with all componets of Standard 115.43.**

### **Standard 115.51 - Inmate reporting**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policy CD-150101, Memorandum of Understanding with Valencia Shelter Services, Power Point: Introduction to PREA, NMCD PREA Pamphlet (English/Spanish), Memorandum of Understanding with the State of Colorado for third party reporting, and interviews with the inmates, CNMCF is in compliance with all componets of Standard 115.51.**

**Standard 115.52 - Exhaustion of administrative remedies**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policy CD-150500, Memorandum from the facility Deputy Warden, Examples of Grievances, and NMCD PREA Pamphlet (English/Spanish), CNMCF is in compliance with all componets of Standard 115.52.**

**Standard 115.53 - Inmate access to outside confidential support services**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-150300, CD-150301, Memorandum of Understanding with Malencia Shelter Services, Intergovernmental Agreement with the State of Colorado, NMCD PREA Orientaion Manual (English/Spanish), Poster with the Rape Crisis Center of Central New Mexico's hotline phone numbers, postings throughout the facility and intevIEWS with the inmates, CNMCF is in compliance with all componets of Standard 115.53.**

**Standard 115.54 - Third-party reporting**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policy CD-150101, Memorandum of Understanding with Valencia Shelter Services, PREA Orientation Manual (English/Spanish), NMCD**

**Web site page, PREA Posters, PREA Resource Guide and Intergovernmental Agreement, CNMCF is in compliance with all componets of Standard 115.54.**

**Standard 115.61 - Staff and agency reporting duties**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-031800, CD-031801, CD-150100, PREA Officer Training Materials, PREA Acknowledgement forms, PREA Information sheet, and interviews with staff, CNMCF is in compliance with all componets of Standard 115.61.**

**Standard 115.62 - Agency protection duties**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-150100, CD-150101, CD-150102, FAcility REsponse to Sexual Assault Check-list, Training Materials from Staff PREA presentation, and Interview with the Warden, CNMCF is in compliance with all componets of Standard 115.62.**

**Standard 115.63 - Reporting to other confinement facilities**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-150100, CD-150101, CD-150102, E-mails between New Mexico Statewide PREA Coordinator and Texas Statewide**

**PREA Coordinator, and interview with Statewide PREA Compliance Manager and Warden, CNMCF is in compliance with all componets of Standard 115.63.**

**Standard 115.64 - Staff first responder duties**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policy CD-150102, PREA Officer Training plan/Power Point, signed PREA Acknowledgement Forms, first responder cards and interview with staff, CNMCF is in compliance with all componets of Standard 115.64.**

**Standard 115.65 - Coordinated response**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policy CD-150102, PREA Officer Training plan/Power Point, Facility Response to Sexual Assault Checklist, and interviews with Facility PREA Compliance Manager and Warden, CNMCF is in compliance with all componets of Standard 115.65.**

**Standard 115.66 - Preservation of ability to protect inmates from contact with abusers**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of pages 108/109 of contract between the State of New Mexico and American Federation of State, County, and Municipal Employees (AFSCME Union) Council 18, interview with Statewide PREA Compliance Manager, HR staff and Warden, CNMCF is in compliance with all components of Standard 115.66.**

**Standard 115.67 - Agency protection against retaliation**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-150100, CD-031800, CD-031801, Victim Retaliation Monitoring Form, and interviews with Facility PREA Compliance Manager and Warden, CNMCF is in compliance with all components of Standard 115.67.**

**Standard 115.68 - Post-allegation protective custody**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-141100, CD-141101, CD-141102, CD-141103, CD-141500 and interview with the Warden, CNMCF is in compliance with all components of Standard 115.68**

**Standard 115.71 - Criminal and administrative agency investigations**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-013800, CD-031801, CD-150101, Training Material: Investigating Sexual Assaults in a Correctional Setting, Training Certificates, Letter from the Department of Safety, and interviews with local and OPS investigators, CNMCF is in compliance with all componets of Standard 115.71.**

**Standard 115.72 - Evidentiary standard for administrative investigations**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-031800, CD-031801, CD-031802, NMCD PREA Investigator Training, and interview with Facility investigative staff, CNMCF is in compliance with all componets of Standard 115.72.**

**Standard 115.73 - Reporting to inmates**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-150100, CD-150101, CD-150102, interviews with PREA Compliance Manager and investigative staff, and review of letter sent to an inmate with results of an investigation from the Deputy Warden, CNMCF is in compliance with all componets of Standard 115.73.**

**Standard 115.76 - Disciplinary sanctions for staff**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-037800, CD-037801, CD-032200, CD-032201, CD-031800, CD-031801, Memorandum from Human Resources Manager, and**

**Interview with Human Resources Manager, CNMCF is in compliance with all componets of Standard 115.76.**

**Standard 115.77 - Corrective action for contractors and volunteers**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-150100, CD-150102, CD-031801, CD-031802, CD-060200, CD-060201, CD-060202, NMCD Handbook for Volunteers, and Memorandum from Warden, CNMCF is in compliance with all componets of Standard 115.77.**

**Standard 115.78 - Disciplinary sanctions for inmates**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-090100, CD-090101, CD-181001, CD-150100, CD-150101, CD-060200, CD-060201, CD-060202, review of infraction categories and Misconduct forms for Sexual Misconduct, and review of a Disciplinary Decision, CNMCF is in compliance with all componets of Standard 115.78.**

**Standard 115.81 - Medical and mental health screenings; history of sexual abuse**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-180200, CD-180201, CD-150100, CD-150101, CD-150102, Rights to Confidentiality and Availability of Services Form, RDC**

**Mental Status Examination Form, Offender Assessment Test; S.R.N.S 1&2, and interviews with staff responsible for risk screening including classification/medical/mental health staff, CNMCF is in compliance with all componets of Standard 115.81.**

**Standard 115.82 - Access to emergency medical and mental health services**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-176100, CD-150102, CD-180200, CD-180201, Rights to Confidentiality and Availability of Services Form, PREA Training video, PREA Orientation Manuel, Medical and Mental Health schedule, and interviews with medical and mental health staff, CNMCF is in compliance with all componets of Standard 115.82.**

**Standard 115.83 - Ongoing medical and mental health care for sexual abuse victims and abusers**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of Policies CD-150102, CD-170101 and CD-180100, CD-180101, CD-180102, CD-180103, CD-180104, CD-180105, CD-180106, CD-180107, CD-180108, CD-180109, CD-180110, CD-180111, Memorandum of Understanding with Valencia Shelter Services and interviews with medical/mental health staff, CNMCF is in compliance with all componets of Standard 115.83**

**Standard 115.86 - Sexual abuse incident reviews**

- Exceeds Standard (Substantially exceeds requirement of standard)

- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based review of Policy CD-150102, Administration/Investigation, review of completed PREA investigative reports and interviews with Warden and PREA Compliance Manager, CNMCF is compliant with all componets of Standard 118.86.**

**Standard 115.87 - Data collection**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on review of the Survey of Sexual Violence and NMCD's Annual Assessment of Progress in Addressing Sexual Abuse, CNMCF/NMCD is compliant with all componets of standard 115.87**

**Standard 115.88 - Data review for corrective action**

- Exceeds Standard (Substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on interviews with PREA Coordinator and Warden, review of past year's sexual abuse data, CNMCF is compliant with all componets of standard 115.88.**

**Standard 115.89 - Data storage, publication, and destruction**

- Exceeds Standard (Substantially exceeds requirement of standard)

- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does not meet Standard (requires corrective action)

**Based on PREA Compliance Manager interview, review of Policy CD-150101 and Annual Assessment of NMCD progress in addressing sexual abuse, CNMCF is compliant with all componets of standard 115.89.**

**AUDITOR CERTIFICATION**

I certify that:

- The contents of this report are accurate to the best of my knowledge.
- No conflict of interest exists with respect to my ability to conduct an audit of the agency under review, and
- I have not included in the final report any personally identifiable information (PII) about any inmate or staff member, except where the names of administrative personnel are specifically requested in the report template.

*Michael Klemke*

**05/20/16**

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**Auditor Signature**

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**Date**